CARR RIGGS & INGRAM

Employee Benefit Plans

Meet Your Plan Audit Requirement with Confidence.

Ready to strengthen your plan's audit? Contact us at criadv.com/contact or by scanning the QR code.

If your organization sponsors an employee benefit plan that meets the federal audit threshold, an independent audit is an ERISA requirement, not an option. It is also higher-stakes than many plan sponsors realize. The Department of Labor has documented meaningful deficiency rates across the industry, and a deficient audit can lead to rejected Form 5500 filings, penalties, required corrections, and added fiduciary risk.

CRI maintains a dedicated Employee Benefit Plan audit practice that works in this specialty year-round, not seasonally. We audit 400+ plans annually and are a member of the AICPA Employee Benefit Plan Audit Quality Center (EBPAQC), the credential the Department of Labor references when discussing audit quality. We serve plan sponsors of all sizes, from plans just crossing the audit threshold at roughly 100 participants to the largest enterprise plans, pairing national-caliber expertise with hands-on, responsive service.

Our clients work with a consistent relationship year over year, with senior-level professionals involved throughout the engagement and a standardized, SLA-governed workflow that projects completion in as quick as six weeks when records are complete and responses are timely. Whether you are preparing for a first-time audit, evaluating your current auditor, or navigating new SECURE 2.0 obligations, we keep the process predictable and your filings sound.

Plans We Audit

- 401(k) and other defined contribution plans
- 403(b) plans
- Defined benefit (pension) plans
- Employee Stock Ownership Plans (ESOPs)
- Health and welfare plans
- Form 11-K filings for public company sponsors

How We Support Plan Sponsors

- Annual employee benefit plan audits*
- First-time audit readiness for plans approaching 100 participants
- Auditor transition support and independent second opinions
- SECURE 2.0 audit readiness and guidance
- DOL inquiry and deficiency remediation support
- SAS 136 compliant audit methodology
- Coordination with your TPA and recordkeeper

Ready to get started?

Visit CRIadv.com/contact

†This is not a CPA firm.

*Assurance, attest, and audit services provided by Carr, Riggs & Ingram, L.L.C.